IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF ILLINOIS EAST ST. LOUIS DIVISION

JANIAH MONROE, MARILYN MELENDEZ,)
LYDIA HELENA VISION,)
SORA KUYKENDALL, and SASHA REED,)
)
Plaintiffs,)
)
- VS-)
)
MELVIN HINTON, ROB JEFFREYS,)
and STEVE MEEKS,)
)
Defendants.)

No. 18-156-NJR-MAB

DEFENDANTS' RESPONSE TO PLAINTIFFS' MOTION FOR CLASS CERTIFICATION

The Defendants, MELVIN HINTON, ROB JEFFREYS, and STEVE MEEKS, by and through their attorney, Kwame Raoul, Attorney General for the State of Illinois, provide the following response in opposition to Plaintiffs' motion for class certification [d/e 124]:

Introduction

Plaintiffs are inmates in the custody of the Illinois Department of Corrections. The named Plaintiffs are transgender women, who seek to represent "*all* prisoners in the custody of IDOC who have requested from IDOC evaluation [or] treatment for gender dysphoria." [d/e

124, p. 38, emphasis added in italics].

The Plaintiffs have moved to certify a class that would be defined as quoted above. [d/e 124]. Plaintiffs' motion for class certification should be denied. Plaintiffs' motion is long, but fails to meet the prerequisites set forth in Federal Rule of Civil Procedure 23. Plaintiffs seek only equitable relief in this action. In their complaint, Plaintiffs requested injunctive relief to enjoin Defendants "from subjecting Plaintiffs and the Plaintiff Class to the illegal and

unconstitutional conditions, acts, omissions, policies, and practices" set forth in the complaint. [d/e 1, p. 37, ¶ c.]. Plaintiffs also requested relief in the form of "a plan to eliminate the substantial risk of serious harm" that Plaintiffs and putative class members suffer. [d/e 1, p. 37, ¶ d.]. They have also sought a preliminary injunction, which also seeks broad relief as discussed in separate filings. [d/e 123 & 143]. But, no injunction entered against IDOC may be entered in a fair manner to bind all inmates who would be part of the proposed class. There is significant overlap between the motion at hand and Plaintiffs' motion for preliminary injunction. [*Compare* d/e 123 *with* d/e 124]. Defendants will not restate the facts presented in their response to Plaintiffs' motion for preliminary injunction, but will incorporate those facts for general background [*see* d/e 143, pp. 3-7], and will provide specific citations where appropriate.

For the reasons argued below, Plaintiffs' motion for class certification should be denied.

Argument

A class action is an exception to the usual rule that only a named party can have his or her claims adjudicated. *Wal-Mart Stores, Inc. v. Dukes*, 564 U.S. 338, 348-49 (2011), *quoting Califano v. Yamasaki*, 442 U.S. 682, 700-701 (1979). A party seeking to certify a class action must meet all of the necessary elements of Rule 23. Fed. R. Civ. P. 23. First, the movant must show the putative class satisfies the four prerequisites of Rule 23(a). *Oshana v. Coca–Cola Co.*, 472 F.3d 506, 513 (7th Cir. 2006); *Rosario v. Livaditis*, 963 F.2d 1013, 1017 (7th Cir. 1992). Then, the action must qualify under at least one of the three subsections of Rule 23(b). Fed. R. Civ. P. 23(b); *Rosario*, 963 F.2d at 1017.

A party seeking class certification bears the burden of proving by a preponderance of the evidence that each of these requirements under Rule 23 has been met. *Gen. Tel. Co. of the Sw. v. Falcon*, 457 U.S. 147, 162 (1982); *Bell v. PNC Bank, Nat'l Ass'n*, 800 F.3d 360, 373 (7th Cir.

2015). "Before deciding whether to allow a case to proceed as a class action, therefore, a judge should make whatever factual and legal inquiries are necessary under Rule 23." *Szabo v. Bridgeport Mach., Inc.*, 249 F.3d 672, 676 (7th Cir. 2001).

I. Plaintiffs fail to meet the prerequisites listed in Rule 23(a).

Rule 23(a) lists four prerequisites that must be met: (1) numerosity, (2) commonality, (3) typicality, and (4) adequacy of representation. Fed. R. Civ. P. 23(a). Plaintiffs cannot meet all of these prerequisites. As argued below, Plaintiffs will be unable to establish that there is sufficient commonality, because any assessment of the facts or liability will necessarily involve individualized review. Plaintiffs do not meet their burden with respect to the numerosity prong. Plaintiffs also fail to establish that their claims are typical of that of the proposed class. Finally, Plaintiffs cannot establish that they are adequate representatives for the proposed class.

A. The class claims fail the commonality analysis because there is no single answer that will resolve a central issue to all class members' claims.

Plaintiffs cannot meet the crucial commonality prong. To assess whether the class is sufficiently cohesive to satisfy the commonality prong under Rule 23(a)(2), a court must conduct a 'rigorous analysis' to determine whether the evidence and law support plaintiffs' theory. *Wal-Mart Stores, Inc.*, 564 U.S. at 349, n. 5. The commonality analysis will often overlap with plaintiffs' merits contentions. *Id.* at 349-50. Courts are not simply applying a pleading standard; instead a prospective class must be prepared to prove that there are *in fact* common questions of law or fact. *Id.* at 350-51 (emphasis in original text). Because the purpose of Rule 23 is efficiency, class certification under Rule 23 is appropriate only when a large number of plaintiffs share similar potentially viable claims whose "truth or falsity" can be resolved one way or another "in one stroke," making a single suit more efficient than multiple ones *Id.* at 350.

The Seventh Circuit, following the decision in *Wal-Mart*, has stated that to satisfy the Rule 23(a)(2) prong, all class members' claims must "share some question of law or fact that can be answered *all at once* and that the *single answer* to that question will resolve a central issue in all class members' claims." *Jaime S. v. Milwaukee Public Schools*, 668 F.3d 481, 497 (7th Cir. 2012); *see also Suchanek v. Sturm Foods, Inc.*, 764 F.3d 750, 756 (7th Cir. 2014), *quoting Wal-Mart*, 564 U.S. at 350 ("What matters to class certification is the capacity of a class wide proceeding to generate common answers apt to drive the resolution of the litigation.").

For Eighth Amendment deliberate indifference claims, the Seventh Circuit has recognized two categories: (1) isolated instances of indifference to a particular inmate's needs, or (2) claims of systemic deficiencies rendering the treatment constitutionally inadequate for all. Phillips v. Sheriff of Cook County, 828 F.3d 541, 554 (7th Cir. 2016). In Phillips, current and former detainees of Cook County Jail filed a putative class action claiming that the dental treatment they received at the jail violated their rights under the Eighth and Fourteenth Amendments. 828 F.3d at 543. The district court originally certified two classes of plaintiffs, but then decertified one of the classes and subsequently determined the motion for injunctive relief was moot. Id. The Seventh Circuit Court of Appeals upheld the class decertification based on the "lack of a common issue of fact or law." Id. at 543-44. This was based on a finding that the claims at issue there were best characterized as claims of isolated instances of indifference to a particular detainee's medical needs. Id. at 554. Although dental treatment for all detainees was at issue, the detainees each presented a different situation, involving different types of dental issues, over different periods of time, involving different medical professionals and prison staff, and concerning different alleged deficiencies in the treatment process. Id. at 555. The Seventh Circuit Court was unwilling to agree that delay claims could be appropriate for a class

determination. *Id.* at 555-56. Because the assessment of a delay claim varies depending on each individual's circumstances, liability must be determined on an individual basis. *Id.* ("These questions can only be answered by looking at the unique facts of each detainee's case").

Similarly, in this matter as in *Phillips*, much of the Plaintiffs' case focuses on delay of treatment. The named Plaintiffs complain of delays in receiving hormone therapy, delays in receiving gender-affirming clothing, etc.¹ But, each alleged delay must be reviewed in the context of the individual claims. Delay that is tolerable in one context may not be tolerable in another. See Phillips, 828 F.3d at 554, quoting McGowan v. Hulick, 612 F.3d 636, 640 (7th Cir. 2010) ("We have previously held that when assessing deliberate indifference claims, a delay in medical treatment 'is not a factor that is either always, or never, significant. Instead, the length of delay that is tolerable depends on the seriousness of the condition and the ease of providing treatment."") As noted in a separately filed response, the delays at issue with these Plaintiffs may not be sufficient alone to rise to the level of an Eighth Amendment violation. [d/e 143, pp. 10-11]. It is even more difficult to tell based on putative class members' experiences. The evidence cited in Plaintiffs' motion and exhibits is very general, referencing "many" or "vast majority" without specific factual bases or numbers. [d/e 124]. Yet, each patient is different, and questions about the way hormones are administered or whether surgery is appropriate for a given patient are fact-dependent and may not be answered in one fell swoop.

This matter is distinguishable from that presented in *Lacy v. Cook County*, 897 F.3d 847 (7th Cir. 2018). There, the Seventh Circuit found that there was sufficient commonality for a class of wheelchair-bound inmates to proceed. *Id.* at 865-66. The Court noted that the class members shared a common physical impairment—one that was obvious (they were all confined

¹ As Defendants point out in their response to Plaintiffs' motion for preliminary injunction, the named Plaintiffs all receive hormone therapy and some gender-affirming clothing. [d/e 143, pp. 17-18].

in wheelchairs when attending court); they faced common physical barriers and noncompliant bathroom facilities, and they sought common modifications in the form of mandatory policies for assistance going up steep ramps and escorting them to ADA-compliant restrooms. *Id.* at 865-66. By contrast, this case involves matters that are individualized and specific to each Plaintiff and each putative class member. The circumstances presented here are deeply personal and not at all obvious or easily fixed by bright-line rules.

Plaintiffs contend that "[g]ender dysphoria is not a novel medical condition, nor its treatment unknown or untested." [d/e 124, p. 7]. Such an argument ignores the evolving nature of gender dysphoria and potential treatments. "Gender identity" may be a "well-established concept in medicine," see Decl. of Ettner at d/e 124-1, p. 5, ¶ 13; but "Gender Dysphoria" has not always been an established diagnosis. Plaintiffs' proffered expert, Dr. Randi Ettner, provides some historical background in her declaration, filed along with the Plaintiffs' motion. [d/e 124-1, pp. 5-9]. According to Dr. Ettner, the diagnosis of "Gender Identity Disorder" was "introduced" by the American Psychiatric Association in 1980. [de 124-1, p. 5, ¶ 16]. That diagnosis was later removed (in 2013) and replaced with Gender Dysphoria. [de 124-1, p. 5, ¶ 17]. This was not simply a change in name, but the "new diagnostic term was based on significant changes in the understanding of the condition of individuals whose birth-assigned sex differs from their gender identity." [de 124-1, p. 5, ¶ 17, emphasis in italics added]. Dr. Ettner also states that "the medical research that supports the Gender Dysphoria diagnosis has evolved." [de 124-1, p. 7, ¶ 20]. This is echoed by IDOC 30(b)(6) representative, Dr. Puga, who testified: "This is an evolving topic and evolving issue in our department." [d/e 143-4, at dep. pp., 76-77]. At this point, it has not been clear that there is one right answer or correct manner of treatment for gender dysphoria.

An "illegal policy might provide the 'glue' necessary to litigate otherwise highly individualized claims as a class." *Phillips*, 828 F.3d at 551, *quoting Jamie S v. Milwaukee Public Schools.*, 668 F.3d 481, 498 (7th Cir. 2012). But, an illegal policy is different from complaints of systemic failures. *Jamie S.*, 668 F.3d at 498. Here, there is no illegal policy in place. [*See* d/e 143]. Plaintiffs contend that the policy concerning sex reassignment surgery amounts to a *de fact*o rule of refusing to permit surgical treatment [d/e 124, p. 20]; however, as noted previously by Defendants, it is neither medically nor legally established that surgery is a necessary or effective treatment for gender dysphoria. [d/e 143, pp. 17-18, *quoting Gibson v. Collier*, 920 F.3d 212, 222-23 (5th Cir. 2019)]. It is clear that there is no illegal policy in place within IDOC. Plaintiffs' complaints in this suit necessarily involve individualized determinations that are not appropriate for class certification.

B. Plaintiffs do not meet their burden with respect to the numerosity requirement.

The Northern District of Illinois has stated: "Numerosity does not rest on any magic number." *Arenson v. Whitehall Convalescent & Nursing Home, Inc.*, 164 F.R.D. 659, 663 (N.D. Ill. Feb. 28, 1996). Numerosity cannot be established by relying solely on numbers, there must also be some showing that the numbers estimated for the class would be backed by legitimate claims. *Marcial v. Coronet Ins. Co.*, 880 F.2d 954, 957 (7th Cir. 1989) (plaintiffs failed to prove numerosity where they only speculated as to who would have legitimate claims against defendant). Because Plaintiffs fail to establish that there are sufficient members with legitimate claims, and for whom joinder would be impractical, Plaintiffs do not meet their burden with respect to the numerosity requirement.

IDOC tracks "Transgender Population Totals By Facility." (*See* attached Exhibit 1, with inmate names and numbers redacted for filing). As of March 28, 2019, IDOC identified a total

of 89 inmates who were confirmed to fall under the definition of "transgender," and 26 inmates who were identified as "pending." (Ex. 1). This amounts to a total of 115 transgender inmates in IDOC as of the end of March 2019. Seventy of those were receiving hormones. (Ex. 1). But, this does not mean that 115 inmates would necessarily have claims to bring individual suits related to their own treatment. A great number of the transgender inmates receive hormone therapy (70), so it would only be the remaining inmates who could challenge a continuing denial for prescription of hormones. Thus, this Court cannot presume that a number of 100+ inmates necessarily means that joinder of suits would be impractical. Further, there is no indication on the record that that many inmates would want to join in *this* suit particular suit.

Based on the relief sought, and the particularities of each individual, it cannot be presumed that numerosity is met here. Moreover, because these Plaintiffs seek only equitable relief in this action, it could be possible that any Plaintiffs and/or class members could file separate damages actions. Proceeding as a class here would not preclude separate actions. For the reasons, explained here and elsewhere in this response, class certification is inappropriate.

C. The class claims fail to meet the typicality requirement because the named Plaintiffs cannot show their claims share the essential characteristics of the group at large.

The typicality requirement of Rule 23(a)(3) focuses on whether the named representatives' claims have the same essential characteristics as the claims of the class at large. It is not correct for Plaintiffs to argue that the typicality is "presumed also to be met" if the commonality requirement is met. [d/e 124, p. 24]. Although the commonality and typicality prongs tend to merge, they are still separate elements that must be met under Rule 23.

"A plaintiff's claim is typical if it arises from the same event or practice or course of conduct that gives rise to the claims of other class members and his or her claims are based on

the same legal theory." *Retired Chicago Police Ass'n v. City of Chicago*, 7 F.3d 584, 596–97 (7th Cir. 1993); *De La Fuente v. Stokely–Van Camp, Inc.*, 713 F.2d 225, 232 (7th Cir. 1983). In other words, proof of the named plaintiffs' claims must prove the claims of any other class member. *Id.* "Even though some factual variations may not defeat typicality, the requirement is meant to ensure that the named representative's claims have the same essential characteristics as the claims of the class at large." *Oshana*, 472 F.3d at 514 (internal quotations omitted); *see also Arreola v. Godinez*, 546 F.3d 788, 798 (7th Cir. 2008).

These named Plaintiffs appear to have shared experiences; however, that does not mean that their background or treatment within IDOC is typical of that of all IDOC prisoners. The comparisons in the evidence submitted by Plaintiffs lack the type of specificity needed to establish that these Plaintiffs have suffered experiences typical of the group at large. Plaintiffs make only generalizations about putative class members, using terms like "many" or "vast majority" without giving specific data to compare the typicality of their claims. [*See, e.g.*, d/e 124, p. 40 & Ettner Decl. at d/e 124-1, p. 37, ¶ 126]. It cannot be assumed that every inmate diagnosed with, or otherwise falling under the definition of, gender dysphoria wants to have sex reassignment surgery or the other items sought by these Plaintiffs. Accordingly, Plaintiffs cannot show that their claims are typical of the group at large.

D. Plaintiffs fail to establish that the representative parties will fairly and adequately protect the interests of the class.

Rule 23(a)(4) requires a showing that "the representative parties will fairly and adequately protect the interests of the class." Fed. R. Civ. P. 23(a)(4). In many cases, "the typicality requirement "merges with the further requirement that the class representative 'will fairly and adequately protect the interests of the class." *CE Design Ltd. v. King Architectural Metals, Inc.*, 637 F.3d 721, 724 (7th Cir. 2011).

This suit presently involves five named Plaintiffs who were born biologically male but identify as female. Based on the declarations, complaint, and motions filed on their behalf, the named Plaintiffs all appear to want the same or similar relief. As Defendants addressed in their response to Plaintiffs' motion for preliminary injunction, they are actually barred by the Eleventh Amendment and Prison Litigation Reform Act from seeking much of the relief they request. [d/e 143, pp. 14-19].

It is logical that these five Plaintiffs could proceed as a group; however, they do not represent the views of all other potential class members. The named Plaintiffs are compared to each other—not to other putative class members. This ignores a wide range of variation. They completely disregard and have no named representative who was born female but identifies as male. They have presented no putative class member who has gender dysphoria but chooses not to receive hormone treatment. Absent a representative with a differing viewpoint, they cannot fairly and adequately represent those interests. This means that these particular representatives cannot adequately protect the interests of "*all* prisoners in the custody of IDOC who have requested from IDOC evaluation [or] treatment for gender dysphoria" as they wish to define the class.

For these reasons, Plaintiffs fail to meet the prerequisites mandated by Rule 23(a).

II. There is no action or refusal to act that warrants the imposition of a class, nor is there adequate basis for class-wide relief, pursuant to Rule 23(b)(2).

A case may be certified as a class action for equitable relief under rule 23(b)(2) where "the party opposing the class has acted or refused to act on grounds that apply generally to the class, so that final injunctive relief or corresponding declaratory relief is appropriate respecting the class as a whole." Fed. R. Civ. P. 23(b)(2). Defendants have already argued that there is no basis for injunctive relief, because there is no unlawful act by these Defendants. [d/e 143].

Yet, there is an additional component to this analysis. Because the injunctive relief sought must be final to the whole class, there can be no variation in any injunction called for to the class. As the Supreme Court explained:

claims for *individualized* relief . . . do not satisfy the Rule. The key to the (b)(2) class is the indivisible nature of the injunctive or declaratory remedy warranted—the notion that the conduct is such that it can be enjoined or declared unlawful only as to all of the class members or as to none of them. In other words, Rule 23(b)(2) applies only when a single injunction or declaratory judgment would provide relief to each member of the class. It does not authorize class certification when each individual class member would be entitled to a *different* injunction or declaratory judgment against the defendant.

Wal-Mart Stores, Inc., 564 U.S. at 360-61 (emphasis in original, internal quotation and citation omitted).

In their complaint, Plaintiffs have sought general injunctive relief. This has been expressed a little more in-depth through Plaintiffs' motion for preliminary injunction. [d/e 123]. However, there is still nothing specific that could be imposed that would provide relief to each member of the class—nothing that could provide relief to "all of the class members or as to none of them." *See, supra*. This results, in part, from the individualized nature of each claim that could be presented; but also because of the necessarily individualized relief that should be given to any individual patient. In asking for generic, one-size-fits-all relief, Plaintiffs ignore the obvious fact that difficult medical decisions cannot be made in such a manner without greater risk of harming any one patient.

Under controlling law, Plaintiffs' claims are not amenable to class-wide injunctive relief. For these reasons, these named Plaintiffs cannot represent a class for injunctive relief.

Conclusion

In summary, Plaintiffs fail to meet the requirements for a class action under Rule 23. Plaintiffs do not meet the four prerequisites listed under Rule 23(a). There is no commonality in this case, because it necessarily requires individualized assessment. There is also insufficient evidence of numerosity, typicality, and commonality. Moreover, this is not the type of action contemplated as a class under Rule 23(b)(2).

WHEREFORE, Defendants respectfully request that this Court deny Plaintiffs' motion for class certification.

Respectfully submitted,

ROB JEFFREYS, MELVIN HINTON, and STEVE MEEKS,

Defendants,

KWAME RAOUL, Attorney General State of Illinois

Attorney for Defendants,

By: <u>s/Lisa A. Cook</u> Lisa A. Cook

Christopher Higgerson, #6256085 Lisa A. Cook, #6298233 Assistant Attorney General 500 South Second Street Springfield, Illinois 62701 (217) 557-0261 Phone (217) 524-5091 Fax Email: lcook@atg.state.il.us

IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF ILLINOIS EAST ST. LOUIS DIVISION

JANIAH MONROE, MARILYN MELENDEZ,)
EBONY STAMPS, LYDIA HELENA VISION,)
SORA KUYKENDALL, and SASHA REED,)
)
Plaintiffs,)
)
- VS-)
)
MELVIN HINTON, ROB JEFFREYS,)
and STEVE MEEKS,)
)
Defendants.)

No. 18-156-NJR-MAB

CERTIFICATE OF SERVICE

I hereby certify that on June 14, 2019, the foregoing document, **Defendants' Response** to **Plaintiffs' Motion for Class Certification**, was electronically filed with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the following:

John A. Knight Catherine L. Fitzpatrick Erica B. Zolner Ghirlandi Guidetti Megan M. New Sydney L. Schneider Jordan M. Heinz Cameron N. Custard Sarah Jane Hunt Thomas E. Kennedy, III Brent P. Ray Samantha G. Rose Austin B. Stephenson Carolyn M. Wald jknight@aclu.il.org cfitzpatrick@kirkland.com ezolner@kirkland.com gguidetti@aclu.il.org mnew@kirkland.com Sydney.schneider@kirkland.com jheinz@kirkland.com cameron.custard@kirkland.com sarahjane@tkennedylaw.com tkennedy@tkennedylaw.com brent.ray@kirkland.com sam.rose@kirkland.com austin.stephenson@kirkland.com cwald@aclu-il.org

s/ Lisa A. Cook

Lisa A. Cook, #6298233 Assistant Attorney General Office of the Attorney General 500 South Second Street Springfield, Illinois 62701 (217) 557-0261 Phone (217) 524-5091 Fax Email: <u>lcook@atg.state.il.us</u> Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 1 of 29 Page ID #1257



Illinois Department of Corrections

Transgender Population Totals By Facility March 28, 2019

	Confirmed	Pending	Receiving Hormones
Dixon	12	2	9
East Moline	2	1	1
Hill	2	0	2
Sheridan	0	0	0
Stateville	2	0	2
Stateville NRC	0	1	0
Danville	5	0	3
Decatur	0	0	0
Graham	1	0	1
Illinois River	6	0	5
Jacksonville	1	0	1
Lincoln	1	0	1
Logan	5	2	4
Pontiac	14	1	9
Taylorville	0	0	0
Western Illinois	6	1	5
Big Muddy	4	1	3
Centralia	5	0	4
Lawrence	9	0	8
Menard	3	0	2
Pinckneyville	4	15	4
Robinson	3	2	3
Shawnee	4	0	3
Southwestern IL	0	0	0
Vandalia	0	0	0
Vienna	0	0	0
Murphysboro	0	0	0
Kewanee	0	0	0
Total:	89	26	70

Monroe et al. v. Rauner, et al. (18-156) Document No.: 260210

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 2 of 29 Page ID #1258

Dixon Correctional Center

Transgender Population

Offender Name	IDOC #	Date of Birth	Race	Confirmation Status	Receiving Hormones	Date Started Hormones	Parent Facility/Status	Restrictive Housing	Housing Assignment	Additional Comments	MSR
		9/11/1981	Black	unconfirmed	No	NA	Dixon	No	DXP		8/23/2046
		3/2/1978	Caucasian	Confirmed	Yes	Prior to incarceration	Dixon	Yes	GP		11/25/2026
		9/16/1989	Black	Confirmed	Yes	approved 2/4/19	Dixon	Yes	GP		5/21/2020
		5/16/1985	Black	Confirmed	Yes	3/6/2018	Dixon	No	GP		7/6/2020
		##############	Black	Confirmed	Yes	1/23/2019	Dixon	No	GP		5/29/2020
		6/22/1990	Caucasian	Confirmed	Yes	Prior to incarceration	Dixon	No	GP		4/26/2019
		2/25/1968	Black	Confirmed	Yes	approved 9/18/18	Dixon	No	STC		10/23/2035
		2/7/1975	Caucasian	Confirmed	Yes	approved 9/18/18	Dixon	Yes	GP		8/17/2022
		2/21/1992	Black	Confirmed	Yes	Prior to incarceration	Dixon	No	GP		2/5/2021
		7/8/1975	Black	Confirmed			Dixon	No	STC		3/24/2021
		6/16/1975	Black	Confirmed	Previously	D/C	Dixon	No	DPU		9/4/2048
		8/28/1995	Black	Unconfirmed	No	NA	Dixon	Yes	DXP		1/13/2021
	1	2/5/1997	Blck	Confirmed	No	NA	Dixon	Yes	STC		2/22/2023
		8/7/1983	Caucasian	Confirmed	Yes	approved 8/7/18	Dixon	Yes	DPU		2/14/2020

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 3 of 29 Page ID #1259

East Moline Correctional Center

Transgender Population

Offender Name IDOC # [Date of Birth	Race Confirmation Status	Receiving Hormones	Date Started Hormones	Parent Facility/Status	Restrictive Housing	Housing Assignment	Additional Comments
#	############	White Confirmed	No	N/A	East Moline	No	General Population	None
1	1/25/1979	Black Pending	No	N/A	East Moline	No	General Population	Case being presented 4/2/2019
#	*****	White Confirmed	Yes	1/25/2019	East Moline	No	General Population	None

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 4 of 29 Page ID #1260

Hill CC Transgender Population

March 28, 2019

Offender Name IDOC # Date of Birth Confirmation Status Receiving Hormones Date Started Hormones Parent Facility/Status Housing Assignment Additional Comments Race **Restrictive Housing** ## White Confirmed Yes 10/31/2018 Hill None General Population None ############# Black Confirmed Yes 12/3/2018 Hill General Population None None

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 5 of 29 Page ID #1261

Sheridan CC

Transgender Population

March 28, 2018

Offender Name None IDOC #

Date of Birth Race Confirmation Status Receiving Hormones

Date Started Hormone Parent Facility/Status

t Facility/Status Restrictive Housing

Housing Assignment Additional Comments

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 6 of 29 Page ID #1262

Stateville Correctional Center

Transgender Population

March 28, 2019

Offender Name

<u>.</u>	IDOC #	Date of Birth	Race	Confirmation Status	Receiving Hormones	Date Started Hormone	Parent Facility/Status	Restrictive Housing	Housing A Additional Comm	nents
		#############	Caucasian	Approved	yes	9/4/2018	Stateville	Yes	X LE 07	
		7/9/1967	African American	Approved	yes	12/25/2018	Stateville	No	X LW 06 Protective Custor	dy

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 7 of 29 Page ID #1263

Stateville NRC

Transgender Population

March 28, 2019 Confirmation Status Receiving Hormones

Offender Name

IDOC # Date of Birth Race ############## black

pending no Date Started Hormones Parent Facility/Status N/A STA-NRC/parole violator Yes

Restrictive Housing Housing Assignment Additional Comments Administrative Detenti O360 vulnerable

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 8 of 29 Page ID #1264

Danville Correctional Center

Transgender Population

March 28, 2019

Offender Name Ball, Xavier

<u>e</u>	IDOC #	Date of Birth	Race	Confirmation Status	Receiving Hormones	Date Started Hormones	Parent Facility/Status	Restrictive Housing	Housing Assignment	Additional Comments
	B87475	#	African American	confirmed	yes	12/11/2018	Danville Correctional Center	N	General Population	Was refusing housing. Now back in GP
		###########	African American	confirmed	yes	8/3/2018	Danville Correctional Center	N	General Population	Not yet presented since transfer
		###########	Caucasian	confirmed	no	n/a	Danville Correctional Center	N	General Population	Not yet presented since transfer
		###########	Caucasian	confirmed	yes	6/12/2018	Danville Correctional Center	N	General Population	Not yet presented since transfer
		############	African American	confirmed	no	n/a	Danville Correctional Center	N	General Population	Not yet presented since transfer; Recently on crisis watch

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 9 of 29 Page ID #1265

Decatur Correctional Facility

Transgender Population

March 28, 2019

Offender Name None Date of Birth

Race

IDOC #

Confirmation Status Parent Facility/Status

Restrictive Housing Housing Assignment

Additional Comments

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 10 of 29 Page ID #1266

Graham Correctional Center

Transgender Population March 28, 2019

Offender Name G

IDOC # Date of Birth Race ############# Black

 Confirmation Status
 Receiving Hormones
 Date Started Hormones
 Parent Facility/Status
 Restrictive Housing
 Housing Assignment

 Confirmed
 Yes
 8/4/2018
 Graham
 No
 General Population

Additional Comments

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 11 of 29 Page ID #1267

ILLINOIS RIVER CORRECTIONAL CENTER

Transgender Population

March 28, 2019

###################################	Offender Name	IDOC #	Date of Birth	Race	Confirmation Status	Receiving Hormones	Date Started Hormones	Parent Facility/Status	Restrictive Housing	Housing Assignment	Additional Comments
############# AA confirmed yes 4/7/2015 Illinois River No General Population			#######################################	AA	confirmed	no		Illinois River	No	General Population	
			#######################################	AA	confirmed	yes	8/10/2018	Illinois River	No	General Population	
######################################			******	AA	confirmed	yes	4/7/2015	Illinois River	No	General Population	
			******	AA	confirmed	yes	8/20/2018	Illinois River	No	General Population	
#################### AA confirmed yes 1/1/2018 Illinois River No General Population			#######################################	AA	confirmed	yes	1/1/2018	Illinois River	No	General Population	
####################################			##############	AA	confirmed	yes	5/8/2018	Illinois River	Yes	Crisis Placement	Attempted Suicide 4/4/19

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 12 of 29 Page ID #1268

Jacksonville Correctional Center

Transgender Population

yes

March 28, 2019 Confirmation Status Receiving Hormones

Offender Name

IDOC #

Date of Birth

####

Race

white

confirmed

Date Started Hormones Parent Facility/Status 1/27/2019 Jacksonville CC

No General Population

Restrictive Housing Housing Assignment Additional Comments none

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 13 of 29 Page ID #1269

Lincoln Correctional Center

Transgender Population

March 28, 2019

 Offender Name
 IDOC #
 Date of Birth
 Race
 Confirmation Status
 Receiving Hormones
 Date Started Hormones
 Parent Facility/Status
 Restrictive Housing
 Housing Assignment
 Additional Comments

 M
 4/11/1981
 black
 confirmed
 yes
 1/27/2016
 Lincoln CC
 No
 General Population
 none

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 14 of 29 Page ID #1270

LOGAN CORRECTIONAL CENTER

Transgender Population

Offender Name	IDOC #	Date of Birth	Race	Confirmation Status	Receiving Hormones	Date Started Hormones	Parent Facility/Status	Restrictive Housing	Housing Assignment	Additional Comments
		#								
		#	African-American,							
		#	Polynesian	confirmed	yes	2/20/2018	Logan	No	General Population	Psychiatrically stable
	3	###############	African-American	confirmed	yes	pt. 2017 (in Cook Co. Jail)	Logan	No	General Population	Psychiatrically stable
		******	African-American	not confirmed	no	N/A	Logan	No	General Population	Psychiatrically stable
		###############	African-American	confirmed	no	N/A	Logan	No	General Population	Too uncooperative w/ tx for hormones
		******	African-America	not confirmed	no	N/A	Logan	No	General Population	Represent to GD Committee 3-5-19
		##############	African-American	confirmed	yes	Aug. 2016 (in Lawrence)	Stateville	Yes	Restricted Housing	Transferred from Dixon 12/21/18
		******	African-American	confirmed	yes	Nov., 2018	Logan	No	General Population	Psychiatrically stable

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 15 of 29 Page ID #1271

PONTIAC CORRECTIONAL CENTER

Transgender Population

Offender Name	IDOC #	Date of Birth Ra	ice	Confirmation Status	Receiving Hormones	Date S	tarted Hormone	es P	Parent Facility/Status	Restrictive Housing	Housing Assignment	Additional Comments
		9/11/1981 Bla	ack	Confirmed	Yes		11/5/2016	Р	Pontiac	No	PC	
		10/30/1978 Bla	ack	Confirmed	No	N/A		P	ontiac	No	PC	
		12/28/1985 Bla	ack	confirmed	No	N/A		P	ontiac	Yes	PC	
		5/11/1994 His	spanic	Confirmed	Yes		2/3/20)17 P	ontiac	Yes	PC	
		10/26/1991 Bla	ack	Confirmed	No	N/A		P	ontiac	Yes	PC	
		9/4/1986 W	hite	Confirmed	Yes		12/2/20	016 P	ontiac	Yes	PC	
		5/22/1989 Bla	ack	Confirmed	yes			P	ontiac	yes	West Seg	
		6/30/1993 Bla	ack	Confirmed	Yes		6/17/20	016 P	ontiac	Yes	PC	
		4/29/1961 Bla	ack	confirmed	Yes		4/28/20	018 P	ontiac	No	PC	
		########### Bla	ack	Confirmed	Yes			Р	Pontiac	Yes	West Seg	
		########### Bla	ack	Confirmed	yes			Р	Pontiac	Yes	West Seg	
		########### W	hite	Confirmed	No		N/A	Р	Pontiac	Yes	West Seg	
		########### Bla	ack	pending	No		NA	N P	Pontiac	No	PC	
		############ Bla	ack	Confirmed	No		N/A	Р	Pontiac	Yes	PMH	
		############# Bla	ack	Confirmed	Yes			Р	Pontiac	No	PC	

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 16 of 29 Page ID #1272

Taylorville Transgender Population

March 28, 2019

Offender Name None Date of Birth Race

IDOC #

Confirmation Status Receiving Hormones Date Started Hormones Parent Facility/Status

Restrictive Housing Housing Assignment Additional Comments

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 17 of 29 Page ID #1273

Western Illinois Correctional Center

Transgender Population

Offender Name	IDOC #	Date of Birth	Race	Confirmation Status	Receiving Hormones	Date Started Hormones	Parent Facility/Status	Restrictive Housing	Housing Assignment	Additional Comments
		###	black	confirmed	yes	4/9/2015	Western	No	General Population	case review pres 4/2/19
		4/8/1993	black	confirmed	yes	1/29/2019	Western	No	General Population	case review pres 4/2/19
		*****	black	confirmed	yes	12/19/2018	Western	No	General Population	none
		*****	black	confirmed	no	n/a	Western	No	General Population	GID conf & HRT app 6/12/18 (seiz comp)
		*****	black	pending	no	n/a	Western	Yes	General Population	case review pres 4/2/19; req bra
		*****	black	confirmed	yes	9/20/2018	Western	Yes	General Population	wants to wear a bra/present to TGC 4/2/19
		9/4/1986	white	confirmed	yes	11/8/2017	Western	No	General Population	none

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 18 of 29 Page ID #1274

BIG MUDDY RIVER CORRECTIONAL CENTER (BMRCC)

Transgender Population

<u>Offender Name</u>	IDOC #	Date of Birth Race ############# African American 9/2/1981 African American ####################################	Confirmation Status Confirmed Confirmed Pending	Receiving Hormones Yes Yes	Date Started Hormones 8/29/2014 5/30/2018 Pending	Parent Facility/Status BMRCC BMRCC	<u>Restrictive Housing</u> No No	Housing Assignment General Population General Population General Population	Additional Comments None Scheduled for presentation to Transgender Care Committee on 04/02/19
	_								Has requested transfer to female facility and reassignment surgery. Was presented to the Transgender Care Committee on 03/05/19;
		############### Caucasion	Confirmed	Yes	9/21/2018 Committee approved HRT on 10/30/18 however there are issues with lab levels related to his liver; referral has been made to liver specialist. This issue must be resolved before HRT can be	BMRCC	No	General Population	awaiting decision.
		############## African American	Confirmed	No	started	BMRCC	No	General Population	None

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 19 of 29 Page ID #1275

Centralia Correctional Center

Transgender Population

Offender Name	IDOC #	Date of Birth	Race	Confirmation Status	Receiving Hormones	Date Started Hormone	e Parent Facility/Status	Restrictive Housing	Housing Assignment	Additional Comments
							Centralia Correctional			
		7/7/1990	Black	Confirmed	Yes		Center	No	General Population	
							Centralia Correctional			
		2/18/1967	Black	Confirmed	No	N/A	Center	No	General Population	None
							Centralia Correctional			
		12/2/1978	White	Confirmed	Yes	4/26/2017	Center	No	General Population	None
							Centralia Correctional			
		7/9/1984	Black	Confirmed	Yes	2013	Center	No	General Population	None
							Centralia Correctional			
		7/15/1979	Hispanic	Confirmed	Yes	11/12/2018	Center	No	General Population	None
			•							

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 20 of 29 Page ID #1276

			Trans	Lawrence CC igender Population March 28, 2019					
Offender Name	IDOC#	Date of Birth Race	Confirmation State	25 Receiving Hormones	Date Started Hormone	s Parent Facility/Status	Restrictive Housing	Housing Assignment	Additional Comments
		annannannan Caucasian	Confirmed/GD	Y	1/26/2018	LAW	N	GP	2nd TG Committee Presentation 1-26-18. Hormones approved 1-26-18. TG Committee Initial Presentation completed 9-8-17. Committee denied hormone therapy, requesting at least 3 months contact with MHP (1st contact was 6-27-17) and a collateral contact with family. Patient currently interested in hormone therapy.
		AA MERENERIERIE	Confirmed/GD	Y	4/10/2017	LAW	N	GP	TG Committee Update completed 7-28-17. Hormone therapy started 4-10-17. Currently in eroup and individual therapy. Requesting an increase in hormone dose and requesting injections instead of pills. Has been referred to medical.
		AA MERENERIERIE	Confirmed GD	N	N/A	LAW	N	GP	New request for TG: presented to committee on 7/10/18 approved fro TG services.
		annannannan Caucasian	Confirmed/GD	Y	8/11/2014	LAW	N	GP	Medical file shows "Iffelong approval." Transferred to LAW 5/24/2018
		AA MERENERIERIE	Confirmed/GD	Y	4/10/2018	LAW	N	GP	3rd time submitted to committee on 4/10/18 and approved for hormones.
		sammanana Hispanic	Confirmed/GD	Y	8/27/2018	LAW	N	GP	submitted to committee on 7/10/18. hormones approved.
		annanananan Hispanic	Confirmed/GD	Y	6/29/2018	LAW	N	GP	TG committee approved hormones 6/29/18
		AA MARABARARAN	Confirmed GD	Y	11/27/2018	LAW	N	GP	
		AA USUSUSUSUSUSUSUS	Confirmed/GD	Y	3/5/2019	LAW	N	GP	

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 21 of 29 Page ID #1277

Menard Correctional Center

Transgender Population



	IDOC #	Date of Birth	Race	Confirmation St	atus Receiving Hormones	Date Started Hormones	Parent Facility/Status	Restrictive Housing	Housing Assignment	Additional Comments
		############	# White	confirmed	yes	3/2/2016	Menard	Yes	Segregation	none
ĺ		############	# Black	confirmed - no l	hormo no-not approved at GIE	NA	Menard	Yes	Seg	Presented and denied hormone treatment at this time
		6/6/1989	Black	confirmed	yes	Approved in County	Menard	Yes	PC	none

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 22 of 29 Page ID #1278

Pinckneyville Correctional Center

Transgender Population

Offender Name	IDOC #	Date of Birth Race	Confirmation Status	Receiving Hormones	Date Started Hormones	Parent Facility/Status	Restrictive Housing	Housing Assignment	Additional Comments
		############## AA	Pending	No	N/A	Pinckneyville	No	4C-13	shower permit
		############## AA	Pending	No	N/A	Pinckneyville	Yes	5C-58	shower permit
		############## AA	Pending	No	N/A	Pinckneyville	No	5B-24	shower permit
		############## AA	Pending	No	N/A	Pinckneyville	No	5D-19	shower permit
		############# AA	Pending	No	N/A	Pinckneyville	No	1B-63	
		2/2/1994 AA	Pending	No	N/A	Pinckneyville	No	1B-63	shower permit
		4/3/1974 Whit	e Pending	No	N/A	Pinckneyville	No	3D-20	
		############## AA	Pending	No	N/A	Pinckneyville	No	5B-70	
		############## AA	Pending	No	N/A	Pinckneyville	No	5B-67	shower permit
		############ Whit	e Pending	No	N/A	Pinckneyville	No	5B-66	shower permit
		1/3/1988 AA	Pending	No	N/A	Pinckneyville	No	3B-60	
		############## AA	Pending	No	N/A	Pinckneyville	No	5B-69	
		############## AA	Confirmed	Yes	11/9/2018	Pinckneyville	Yes	5C-27	shower permit, bra permit
		############## AA	Confirmed	Yes	1/15/2019	Pinckneyville	No	4D-76	shower permit, bra permit; new referral
		############## AA	Pending	No	N/A	Pinckneyville	No	4B-68	shower permit, new referral
		3/4/1982 AA	Pending	No	N/A	Pinckneyville	No	3A-38	
		############ Whit	e Confirmed	Yes	11/16/2018	Pinckneyville	No	2C-69	shower permit, bra permit
		############## AA	Confirmed	Yes	2/10/2019	Pinckneyville	Yes	6B-6	new referral, shower permit, bra permit
		############## AA	Pending	No	N/A	Pinckneyville	No	3A-52	new referral

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 23 of 29 Page ID #1279

			Transgen	binson der Population h 28, 2019					
Offender Name	IDOC #	Date of Birth Race	Confirmation Status	Receiving Hormones	Date Started Hormones	Parent Facility/Status	Restrictive Housing	Housing Assignment	Additional Comments
		anuunnanuunn White	Pending	No	N/A	Robinson	No	General Population	Submitted to Committee 12-12-17 - recommended continued assessment and f/u with MHP to help educate and assess coping skills - Pt still considering HRT - was going to repuest HRT in Apr 2019 but Pt still does not feel ready at this time.
		AA BBURNNERREERRE	Confirmed	Yes	7/27/2018	Robinson	No	General Population	Approved for Hormones by Committee on 7-23-18. submitted to committee for transfer update for ROB on 9-18-18. cont. hormone therapy. MD apot on 8-3-18: Psych seen Aug 2018
		AA HHREERREERRE	Confirmed	Yes	7/16/2018	Robinson	No	General Population	Submitted to committee for transfer update by ROB on 9-18-18. Continue hormone therapy, with doctor care. Already had nx for hormones when arrived at ROB. Seen by MD on 8-21-18 and psych
		AA HHREERREERRE	Pending	No	N/A	Robinson	No	General Population	R/O Gender Dysphoria - PT Requested TG services. History of HRT. No interest in HRT at this time. Patient is not sure if wants to identify as transgender woman or gay man. Individual services only at this time focusing on identity clarification. Seen by MD 1-22-19and Psych 1-28-19.
		AA HHIMITANIH AA	Confirmed	Yes	10/19/2018	Robinson	No	General Population	Initial presentation to Transgender Committee 3:5-19. HRT Initiated 10-19-18. Transfer to ROB2-27-19. Will schedule for MHE, Psych Appt, MD Appt, and May 2019 Transgender Committee for transfer update

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 24 of 29 Page ID #1280

Shanwee Correctional Center

Transgender Population

IDOC #	Date of Birth	Race	Confirmation Status	Receiving Hormones	Date Started Hormones	Parent Facility/Status	Restrictive Housing	Housing Assignment	Additional Comments
	###	Black	Confirmed	Yes	9/16/2016	Shawnee/ In Custody	N/A	General Population	
	#######################################	Black	Confirmed	Yes	1/4/2019	Shawnee/ In Custody	N/A	General Population	
	#######################################	Black	Confirmed	Yes	2/20/2019	Shawnee/ In Custody	N/A	General Population	
	******	Black	Confirmed	No	N/A	Shawnee/ In Custody	N/A	General Population	



Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 25 of 29 Page ID #1281

Southwestern Illinois Correctional Center

Transgender Population March 28, 2019

Offender Name IDOC # Date of Birth Race Confirmation Status Receiving Hormones Date Started Hormones Parent Facility/Status Restrictive Housing Housing Assignment Additional Comments None

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 26 of 29 Page ID #1282

Vandalia

Transgender Population

March 28, 2019

Offender Name

Date of Birth Race

Confirmation Status Receiving Hormones Date Started Hormones Parent Facility/Status

Restrictive Housing Housing Assignment Additional Comments

None

IDOC #

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 27 of 29 Page ID #1283

Vienna Correctional Center

Transgender Population

March 28, 2019

Date Started Hormones Parent Facility/Status Restrictive Housing

Housing A Additional Comments

Offender Name None IDOC #

Date of Birth Race

Confirmation Status Receiving Hormones

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 28 of 29 Page ID #1284

Murphysboro Life Skills Re-Entry Center

Transgender Population

Offender Name	IDOC #	Date of Birth	Race	Confirmation Status	Receiving Hormones	Date Started Hormones Parent Facility/Status	Restrictive Housing	Housing Assignment	Additional Comments
n/a	n/a	n/a	n/a	n/a	n/a	n/a n/a	n/a	n/a	n/a

Case 3:18-cv-00156-NJR-MAB Document 145-1 Filed 06/14/19 Page 29 of 29 Page ID #1285

Kewanee Life Skills Re-Entry Center

Transgender Population March 28, 2019

Offender Name IDOC # Date of Birth Race Confirmation Status Receiving Hormones Date Started Hormones Parent Facility/Status Restrictive Housing Assignment Additional Comments